

SYPA Record of Breaches

Year	Ref	Date Identified	Type of Breach (e.g. personal data, contributions, criminal activity, etc)	Description	Action Taken in Response to Breach	Possible Impact (Red/Amber/Green)	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Breach Open/Closed
2021/22	55	09/07/21	Personal Data	Death certificate verification form returned to wrong NOK.	Recipient agreed to destroy verification form.	Green	14/10/2021 (LPB)	NO	NO	New check already introduced to document return process following earlier breach (No 54)	

Year	Ref	Date Identified	Description of Cybersecurity Incident	Action Taken in Response to Incident	Date Reported to Local Pension Board or Authority	Reported to Pensions Regulator or other statutory body (e.g. ICO)?	Reported to Data Protection Officer?	Details of any follow up actions taken/required or wider implications	Incident Open/Closed
2021/22	CS10	10/08/21	Phishing email received by member of the transactions (payroll) team.	All users informed of phishing email. The sender was blocked and email removed. Checked for other recipients receiving email.	14/10/2021 (LPB)	NO	NO	NCSC cybersecurity elearning course carried out by all staff this quarter. Further phishing email testing	Closed
2021/22	CS11	19/08/21	Phishing email received by a number of the team purporting to be from one of the fund's employers.	All users informed of phishing email. The sender was blocked and email removed. Checked for other recipients receiving email.	14/10/2021 (LPB)	NO	NO	As above.	Closed
2021/22	CS12	24/08/21	Phishing email purporting to be from service provider received by members of the transactions (payroll) team.	All users informed of phishing email. The sender was blocked and email removed. Checked for other recipients receiving email. Virus scan run as one member of staff had clicked on the link in the email.	14/10/2021 (LPB)	NO	NO	As above.	Closed